| REVISED PTO FORM 1449 | SERIAL NO. | GROUP ART UNIT | ATTACHMENT TO PAPER NUMBER | |
|----------------------------|---------------------------------------------------------------------------------|-------------------|-------------------------------|--|
| | New Application | Unknown | | |
| NOTICE OF REFERENCES CITED | TITLE: High Performance Support for XA Protocols in a Clustered Shared Database | | | |
| .101102 01 101 1010 01122 | APPLICANT(S): Cotner et al. | | | |
| | DOCKET NUMBER: SVL920030097US1 | | | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------|-----------|--------------------------|-------|---------------|----------------|
| INIT. | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
| W. | 5,668,943 | 9/16/1997 | Clement Attanasio et al. | 395 | 182.05 | 5/24/1996 |
| 7 | 5,790,788 | 8/04/1998 | Peter Badovinatz et al. | 395 | 200.31 | 7/23/1996 |
| | 5,793,962 | 8/11/1998 | Peter Badovinatz et al. | 395 | 200.31 | 4/30/1996 |
| | 5,805,786 | 9/08/1998 | Peter Badovinatz et al. | 395 | 182.02 | 7/23/1996 |
| | 5,896,503 | 4/20/1999 | Peter Badovinatz et al. | 395 | 200.31 | 7/23/1996 |
| | 6,014,669 | 1/11/2000 | Gregory Slaughter et al. | 707 | 10 | 10/21/1997 |
| | 2003/0018732 | 1/23/2003 | Dean Jacobs et al. | 709 | 208 | 10/11/2001 |
| | 2003/0023898 | 1/30/2003 | Dean Jacobs et al. | 714 | 15 | 10/11/2001 |
| V | | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------|-----------|---------|-------------------------|-------|---------------|
| INIT. | DOCUMENT NO. | DATE | COUNTRY | NAME | CLASS | SUB- CLASS |
| B | JP6202996 | 7/22/1994 | Japan | Roger Brockmeyer et al. | 1 | |
| | | | | | | |

| | OTHER REFERENCES | | | |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|
| INIT. | NIT. (Include name of the author, title of article, title of publication, date, pertinent pages, volume-issue, publisher ci and/or country where published, etc.) | | | |
| R | Hui-I Hsiao et al., "DLFM: A Transactional Resource Manager," IBM Almaden Research Center, 2000, pgs. 518-528. | | | |
| | C. Mohan et al., "Method for Distributed Transaction Commit and Recovery Using Byzantine Agreement With Clusters of Processors," IBM San Jose Research Laboratory, 1983, pgs. 89-103. | | | |
| | Liba Svobodova, "File Servers for Network-Based Distributed Systems, Computing Surveys, V16, N4, December 1984, pgs.353-372. | | | |
| | "Raj Tewari, "Advanced Database Systems," Springer-Verlag, New York, 1993, pgs. 277-287. | | | |
| V | Yan Wang et al., "A Mobile Agent Based Protocol for Distributed Databases Access," IEEE, 2000, pgs. 2028-2033. | | | |

EXAMINER David

DATE Wish 2006